



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO/TITLE
09/041,236	03/11/98	LOO	EX98-003

09/041,236

03/11/98

FIRST NAMED APPLICANT

ATTORNEY DOCKET NO/TITLE

LOO

V

EX98-003

0262/0325

NOT ASSIGNED

RICHARD ARON OSMAN
SCIENCE & TECHNOLOGY LAW GROUP
75 DENISE DRIVE
HELSBOROUGH CA 94010

HB

1643

DATE MAILED:

03/25/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
 small entity (statement filed) **non-small entity is \$ 920.00**

1. The statutory basic filing fee is:

missing.

insufficient.

Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required:

\$ _____ for _____ independent claims over 3.

\$ _____ for _____ dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

is missing or unexecuted.

does not cover the newly submitted items.

does not identify the application to which it applies.

does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

395.00

65.00

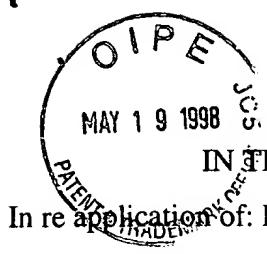
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02/1998
FC-201
FC-205

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

BANK 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Luo et al.

Serial No. 09/041,236

Filed: March 11, 1998

MAY 19 1998 Semaphorin K1

RECEIVED

MAY 26 1998

Group Art Unit: 1643

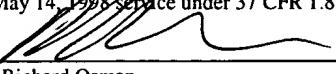
Examiner: N/A

Attorney Docket No. EX98-001

Certification under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner of Patents, Washington, DC 20231 on May 14, 1998 service under 37 CFR 1.8

Signed


Richard Osman

MAILED BY CARRIER

RESPONSE TO NOTICE TO FILE MISSING PARTS

The Assistant Commissioner for Patents
Washington, DC 20231

Dear Commissioner:

In response to the Notice to File Missing Parts mailed March 25, 1998, enclosed please find:

Declaration of Inventorship (1p); Small Entity Declaration (1p); Power of Attorney (1p); Assignment (1p) and Recordation sheet; copy of Notice (1p); CRF diskette of Sequence Listing; Paper copy of Sequence Listing (8p); check no.1371 for \$500.00 (395+65+40); return postcard.

In adherence with 37 CFR 1.821-1.825, this response is accompanied by a diskette containing SEQ ID NOS 01-04 in computer readable form and a paper copy of the sequence information. The computer readable Sequence Listing was prepared through the use of the software program "PatentIn" provided by the Patent and Trademark Office. The sequence information on the enclosed paper copy and computer readable copy of the Sequence Listing is the same and introduces no new matter. The sequence data of the enclosed Sequence Listing are all contained in the Specification as filed.

The Commissioner is hereby authorized to charge any other fees or credit any overcharges associated with this communication to our Deposit Account No. 19-0750 (order no. EX98-001).

Respectfully submitted,
SCIENCE & TECHNOLOGY LAW GROUP


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